

RAVINDER GUPTA & ASSOCIATES

Chartered Accountants | www.carkgupta.com | rkg4247@gmail.com

40 POINTS CHECKLIST

BEFORE PUTTING UP A PROPOSAL FOR CONFERMENT OF DEEMED TO BE UNIVERSITY STATUS

Source: UGC Connect (Original Checklist) | Updated & Annotated per UGC (Institutions Deemed to be Universities) Regulations, 2023

Original Checklist (UGC Connect) preserved in full · 2023 Regulation updates shown in [UPDATE 2023] annotations

How to Read This Checklist: This is the ORIGINAL 40-point UGC Connect checklist, fully preserved with its original numbering and structure. Where the 2023 Regulations have materially changed a requirement (figures, procedures, terminology, or added entirely new obligations), an [UPDATE 2023] annotation is shown in amber below the original point. Points with NO annotation remain fully current and applicable. The original checklist was designed under the pre-2019 UGC regime; the 2023 Regulations (notified 2 June 2023) supersede the 2019 Regulations which had already superseded the 2016 framework.

Pt.	Original Point — UGC Connect Checklist (Preserved Verbatim)	Update / Annotation — 2023 Regulations (amber = changed/new; ✓ = still current)	Self-Assessment Actual Position / Evidence Ready
SECTION I — HISTORY, BACKGROUND AND BASIC INSTITUTIONAL INFORMATION (Points 1 – 17)			
1	Headquarter of the proposed institute with full address.	✓ CURRENT — No Change Required Still required. The full address including PIN code, district, and state must be provided. Under the 2023 portal, this is a mandatory field in the online application on deemed.ugc.ac.in .	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
2	(a) Details of society/trust registered in the name and style of the proposed deemed to be university. (b) In case proposal is for more than one institution, name of the society/trust promoting the proposed institute(s). (c) Whether the same trust is the promoter of all the institutions applying for the status of deemed university status.	[UPDATE 2023] The 2023 Regulations add an important new requirement for CLUSTERS: where institutions from DIFFERENT sponsoring bodies propose to form a cluster, an EXCLUSIVE NEW SPONSORING BODY must be formed in the name of the proposed DTBU before the application is filed. All movable and immovable assets must then be legally transferred to this exclusive body. This was not specified in the original checklist. Permitted sponsoring body forms: Society (Societies Registration Act, 1860), Public Charitable Trust, or Section 8 Company.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
3	Details of the individual institution: • Year of establishment • No. of PG Departments • Faculty (Professors, Readers, Lecturers) etc.	[UPDATE 2023] The faculty designation terminology has changed: under the UGC Academic Pay Regulations 2018 (now incorporated in the 2023 norms), designations are Professor, Associate Professor, and Assistant Professor. 'Reader' is no longer a current UGC designation. Under the 2023 Regulations, the MINIMUM	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:

		number of FULL-TIME FACULTY has been raised from 100 to 150 (General Category), with a teacher-student ratio of 1:20. Provide: (a) dept-wise breakdown; (b) nature of appointment (regular/contract/visiting); (c) PhD qualification %.	
4	Whether Movable and immovable assets have been legally transferred in the name of the society/trust seeking recognition as Deemed to be University.	[UPDATE 2023] Still a core requirement. The 2023 Regulations specifically require land to be OWNED (not leased) by the institution or sponsoring body. For cluster proposals, assets must be transferred to the new exclusive sponsoring body. Provide: Sale Deed / Gift Deed, Revenue records (Khasra/Khatauni), Encumbrance Certificate, and legal opinion confirming clear title. Leased/rented land is not acceptable.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
5	Territorial jurisdiction of the institution(s).	[UPDATE 2023] The 2023 Regulations have re-framed this. A DTBU operates pan-India (not state-limited) for its declared programmes at its approved campus. Off-campus centres within India are permitted but require separate UGC approval (NAAC 'A' grade or NIRF Top 100 required before applying for off-campus centres). Off-shore campuses require PRIOR CENTRAL GOVERNMENT APPROVAL.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
6	Thrust areas of the proposed Institution(s) indicating special or innovative features.	[UPDATE 2023] Under the 2023 Regulations, 'thrust areas' directly determines which CATEGORY the application falls under. GENERAL CATEGORY: multi-disciplinary, quality-based — thrust area analysis is less critical for eligibility. DISTINCT CATEGORY: the 'unique focus' (cultural heritage, environment, skill development, sports, languages, national strategic relevance) is THE primary eligibility criterion — evaluated by an Expert Committee. The concept note / justification for distinctness must be deeply developed for Distinct Category applicants.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
7	In case the institution has been rated/accredited by NAAC, give details of rating.	[UPDATE 2023] Under the 2023 Regulations, NAAC accreditation criteria are more specific: NAAC 'A' grade with CGPA ≥ 3.01 for THREE CONSECUTIVE CYCLES is required (raised from two cycles in 2019 norms). Under the new NAAC 2.0 framework (operative from 2023), grading uses binary 'Accredited / Not Accredited' with performance scores — verify that your NAAC certificate reflects the correct CGPA under the applicable cycle. NAAC 'A+' or 'A++' satisfies this requirement. DISTINCT CATEGORY applicants are EXEMPTED from this requirement.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
8	In case the proposed institution(s) is affiliated to any university under Section 2(f)/12B of the UGC Act, the name of the University to which it has been affiliated along with proof of the same.	[UPDATE 2023] Section 2(f) recognition and 12(B) status of the CURRENT AFFILIATING UNIVERSITY is still required. Additionally, the 2023 Regulations specifically address the DE-AFFILIATION PROCESS: upon grant of DTBU status, the institution must de-affiliate from the parent university. The application must include a plan for existing students — they retain the right to choose their degree-granting body during the transition period. Obtain NOC from the affiliating university to be submitted with the proposal.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
9	In case students have already been enrolled with the institution(s) seeking Deemed to be University Status, following point may be clarified: Whether the affiliating university has any objection regarding conduct of examination and conferment of degrees or other awards on the students enrolled prior to award of status of	✓ CURRENT — No Change Required Still required. Under the 2023 Regulations, this is specifically addressed: 'existing students can choose their degree-granting institution.' The application must include a student protection plan showing how enrolled students' academic interests will be safeguarded during the transition.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:

	deemed to be university.	Provide: current enrolment data, estimated students in each year of study, and transition plan.	
10	Furnish Fund Flow Statement depicting the following: • From fees • From State Govt. • From UGC • From other sources (details)	[UPDATE 2023] Still required and now more important. The 2023 DPR framework requires a comprehensive 5-YEAR FINANCIAL PLAN and 15-YEAR STRATEGIC VISION with detailed revenue projections. The fund flow statement should cover: (a) fee income by programme; (b) government grants (State/Central); (c) UGC development grants; (d) research grants (DST/DBT/ICMR/SERB/Industry); (e) endowment/corpus income; (f) other sources. 3-year audited financials AND 5-year forward projections are required in the DPR.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
11	Financial and academic viability to run the institution(s) as Deemed to be University.	[UPDATE 2023] The 2023 Regulations require this to be demonstrated through a comprehensive DETAILED PROJECT REPORT (DPR) containing: (a) 15-Year Strategic Vision Plan; (b) 5-Year Rolling Implementation Plan with SMART milestones; (c) Academic plan (all proposed programmes, credit structure, NEP 2020 alignment, OBE framework); (d) Faculty development plan; (e) Research and innovation agenda; (f) Infrastructure development master plan; (g) Detailed financial projections. The DPR is the most heavily evaluated document by the Expert Committee.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
12	Receipt and expenditure of the institution(s) for the last 3 years.	✓ CURRENT — No Change Required Still required. The 2023 portal requires AUDITED Financial Statements (Balance Sheet, Income & Expenditure, Cash Flow Statement) for the last 3 financial years, certified by a Chartered Accountant with UDIN. Also confirm: (a) ITR-7 filed for all 3 years; (b) no adverse audit observations; (c) no income tax demands pending. Foreign-funded activities must show FCRA compliance.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
13	Copy of permission letter of the State Government.	[UPDATE 2023] The 2023 Regulations now specify a FORMAL NOC PROCESS: (a) The sponsoring body must formally apply to the State/UT Government for NOC before filing the UGC application; (b) The State Government has 60 DAYS to respond; (c) If NO response is received within 60 days, DEEMED CONSENT is presumed and must be documented by the applicant; (d) The NOC (or deemed consent documentation) must be uploaded with the online application on the UGC portal. Start this process early — state governments often take the full 60 days or beyond.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
14	Details of Under Graduate/Post Graduate courses: • Started • Proposed to be started	[UPDATE 2023] The 2023 Regulations require a minimum of FIVE academic programmes (UG/PG/Research/Integrated or combination) for both General Category (multidisciplinary) and Distinct Category. Under NEP 2020 alignment, all proposed programmes must follow the CREDIT-BASED CHOICE-BASED CREDIT SYSTEM (CBCS) framework with multidisciplinary electives, internship, community engagement, and skill components. MANDATORY: Registration on the ACADEMIC BANK OF CREDITS (ABC) portal (abc.gov.in) for all programmes.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
15	Details of Investment of the required Corpus Fund: Courses/Programs Requirement (Rs/Cr) Actual Position (Rs/Cr) Engineering/Technology 5 Cr ____ Science & Social Science 3 Cr ____	[UPDATE 2023] MAJOR UPDATE: The corpus fund figures in the original checklist (₹3–5 crore) are COMPLETELY OBSOLETE. The 2023 Regulations prescribe a UNIFORM corpus fund requirement of ₹25 CRORE for ALL non-government-funded institutions,	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:

	Both types of programmes 5 Cr ____	regardless of programme type (raised from ₹10 crore in the 2019 Regulations). Requirements: (a) ₹25 crore to be deposited in the name of the PROPOSED DTBU (not the existing institution) in a scheduled bank as Fixed Deposit; (b) locked — cannot be withdrawn without prior UGC approval; (c) CA certificate confirming creation and lock-in of corpus fund; (d) bank letter confirming the lock. Government-funded institutions are exempt from this requirement.	
16	Details of various authority and bodies of the Institute constituted and an affidavit that all such bodies are in accordance with the provisions of the UGC Guidelines.	[UPDATE 2023] SIGNIFICANT UPDATE: The 2023 Regulations specifically require PRIVATE DTBU institutions to constitute an EXECUTIVE COUNCIL as the principal executive body — modelled on central universities. This replaces/supplements the old 'Board of Management' concept. Required governance bodies: (a) EXECUTIVE COUNCIL — principal executive body with specified composition; (b) ACADEMIC COUNCIL — for academic governance and curriculum; (c) FINANCE COMMITTEE — for financial oversight. Draft DTBU Statutes setting out the composition, powers, and procedures of all three bodies must be prepared and submitted with the application. The affidavit requirement remains.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
17	Any other information having bearing on the proposal.	[UPDATE 2023] Under the 2023 Regulations, several specific additional disclosures are now required and must be included here if not covered elsewhere: (a) Any pending UGC/AICTE/NAAC show-cause notice or adverse order; (b) Any litigation involving the institution, land, or sponsoring body; (c) NEP 2020 implementation status (IKS, multidisciplinary, flexible curriculum, ABC, APAAR); (d) Existing MoUs with Indian and foreign universities / industry; (e) CSR tie-ups and outreach programmes; (f) International collaborations. Ensure all statutory approvals for professional programmes are current.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:

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SECTION II — INFRASTRUCTURE (Points 18 – 24)			
18	Details of building: (I) Permanent (II) Temporary / Lease property Break-up of Building Facilities Requirement (sq. mtrs.) Actual Administration 1,000 sq. mts. ____ Academic including Library building 3,000 sq. mts. ____ Teachers residence / faculty guest house For at least 10 persons ____ Music room / room for performing arts etc. — ____	[UPDATE 2023] The original area benchmarks are from the pre-2019 era and may need to be updated per AICTE/UGC norms applicable to your programme mix. Key 2023 updates: (a) TEMPORARY / LEASE structures are NOT counted toward permanent infrastructure; (b) The Expert Committee virtually inspects infrastructure — ensure complete photographic and video documentation of all buildings; (c) Campus development plan for the next 5 years must be included in the DPR; (d) ICT infrastructure (dedicated broadband, LMS, ERP, smart classrooms) is now explicitly evaluated — add ICT infrastructure details here.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
19	In case Land has been acquired, details of Registration of documents in the name of the university including Khasra/Khatauni number.	✓ CURRENT — No Change Required Land ownership documentation remains critical. The 2023 Regulations explicitly state land must be OWNED — not leased or rented. Required documents: (a) Sale Deed / Gift Deed registered at the Sub-Registrar's office; (b) Revenue Records: Khasra, Khatauni, Patta/Khata as applicable in the state; (c) Encumbrance Certificate (updated to current date); (d) Land map / site plan prepared by a licensed surveyor; (e) Layout plan showing demarcation of academic, residential, and sports areas; (f) Any court/revenue disputes must be disclosed. Transfer of land to DTBU/sponsoring body must be complete.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
20	Details of Laboratories: • Number • Equipments • Staff Deployed	✓ CURRENT — No Change Required Lab infrastructure is closely scrutinised by the Expert Committee. For the 2023 application: (a) Provide programme-wise lab list with floor area, equipment inventory (make, model, value); (b) Confirm compliance with AICTE/UGC/relevant statutory body norms for each lab; (c) Research labs with advanced equipment (spectrometers, electron microscopes, computing clusters, etc.) strengthen the DPR significantly; (d) Innovation hubs, fabrication labs, and incubation facilities should be highlighted under the 'Research Infrastructure' section of the DPR.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
21	In case the institution is already running and students have already been admitted, give course-wise details: Name of the Course No. of Students Admitted	[UPDATE 2023] Provide this as a formal enrolment register extract for the current academic year. Under the 2023 Regulations, minimum 3,000 students enrolled in REGULAR CLASSROOM MODE is required (General Category). At least 1/5th (minimum 600) must be PG or research scholars. Online/distance mode students are NOT counted toward this minimum. Add: year-wise breakdown (Year 1, Year 2, etc.) and SC/ST/OBC reservation compliance data.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
22	Give Details of Hostel facilities: • Mess • Whether separate facilities for Boys / Girls	[UPDATE 2023] Hostel facilities are now evaluated as part of the holistic 'student welfare' assessment. The 2023 Expert Committee specifically looks at: (a) Capacity: number of beds (boys/girls separately); (b) Mess: menu, hygiene, FSSAI compliance; (c) Warden arrangements and student counselling facilities; (d) CCTV, security, and safety provisions; (e) Wi-Fi access in hostels; (f) Provision for differently-abled students. Healthcare facility	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:

		(dispensary with nurse/doctor or tie-up with a nearby hospital) must also be shown here.	
23	Give Details of class rooms: • Class Room size • Average No. of Students	✓ CURRENT — No Change Required Provide a complete classroom inventory: number, area (sq. mts.), type (conventional/smart/seminar), seating capacity, and average students per session. Under NEP 2020 alignment, the Expert Committee evaluates SMART CLASSROOM infrastructure (projectors, audio-visual, internet connectivity, LMS-linked). Add: seminar halls, tutorial rooms, computer laboratories, and language laboratories.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
24	Details of Auditorium, Playground, Indoor Sports facilities, Swimming Pool.	✓ CURRENT — No Change Required Sports and cultural infrastructure is now a specific evaluation area. Provide: (a) Auditorium capacity and audio-visual systems; (b) Open playground area and sports grounds; (c) Indoor sports facilities (badminton/TT/basketball courts, gymnasium); (d) Swimming pool (capacity, certification); (e) Cultural and performing arts spaces; (f) Yoga / meditation centre (aligned with NEP 2020 holistic development thrust). Distinct Category applicants in SPORTS or ARTS disciplines must provide detailed sports/arts infrastructure.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
SECTION III — LIBRARY AND EQUIPMENT (Points 25 – 29)			
25	Area in sq. meters (Library).	✓ CURRENT — No Change Required The Expert Committee inspects the library both physically (or virtually). Provide total carpet area. The 2023 evaluation framework particularly looks at: (a) Separate reading halls for UG, PG, and research scholars; (b) Digital library infrastructure; (c) e-Resource access (Scopus, Web of Science, JSTOR, INFLIBNET/N-LIST access). Minimum 3,000 sq. mts. for the academic + library building (as per original checklist) remains a benchmark.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
26	Number of Journals: (a) National (b) International (c) [other — as listed in original]	[UPDATE 2023] The emphasis has shifted significantly toward DIGITAL / ELECTRONIC RESOURCES. Under the 2023 framework: (a) Physical journals still count but e-journal access is now primary; (b) INFLIBNET/N-LIST subscription is expected; (c) Access to Scopus, Web of Science, and at least 2–3 major international journal databases should be confirmed; (d) Open Access repository / institutional repository (IR) is a positive factor; (e) Provide: list of e-resource subscriptions, number of e-books, e-journals, and databases.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
27	Degree of automation: • It is a digital Library • It has reprographic / bar-coding facilities	[UPDATE 2023] Minimum standard has advanced considerably. Under 2023 expectations: (a) RFID-based book management (not just bar-coding); (b) OPAC (Online Public Access Catalogue) — web-accessible; (c) Library Management Software (e.g., Koha, Libsys, Alice for Windows); (d) Turnitin / anti-plagiarism software subscription; (e) Remote access to e-resources for faculty and students; (f) Integration with LMS/ERP of the institution.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
28	Value of Equipment, Books and Journals — Benchmark is Rs. 50 lakhs.	[SUPERSEDED / OBSOLETE] OBSOLETE BENCHMARK: Rs. 50 lakhs was the pre-2019 benchmark. Under the current framework, the Expert Committee does not apply a single monetary benchmark — it evaluates the library and equipment in the context of the programmes offered and the number of students/faculty.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:

		However, for a credible DTBU application, combined value of library and laboratory equipment should be several crores (typically ₹2–10 crore+ for a full institution). Provide total book value + equipment value in the financial statements and asset register.	
29	Detailed List of Book/Equipment: Title, Publisher, Year of Print, Cost Price etc.	✓ CURRENT — No Change Required A complete asset register is still required. Under the 2023 framework: (a) Books: title, author, publisher, edition, year, ISBN, cost — available in library software export; (b) Equipment: lab-wise, item-wise, make/model, year of purchase, current value; (c) Digital assets: e-journal subscriptions (vendor, no. of titles, annual cost); (d) Software licences: ERP, LMS, anti-plagiarism, statistical software (SPSS, MATLAB, etc.); (e) Capital equipment above ₹1 lakh should be in a separate capital goods register.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
SECTION IV — DETAILS OF FACULTY AND OTHER STAFF (Points 30 – 40)			
30	Details of teaching staff appointed on the following lines: Department Professor Reader Lecturer Support Staff	[UPDATE 2023] TERMINOLOGY UPDATED: 'Reader' is no longer a UGC designation. The current UGC designations are: Professor Associate Professor Assistant Professor (Grade II) Assistant Professor (Grade I). Under the 2023 Regulations, minimum 150 FULL-TIME regular faculty is required for General Category. Provide a structured table: dept-wise, designation-wise, with: (a) Name; (b) Qualification (highest degree + university + year); (c) Specialisation; (d) Date of joining; (e) Nature of appointment (permanent/contract/visiting); (f) No. of publications (Scopus/SCI); (g) Research guidance (PhD scholars guided). Visiting/adjunct/guest faculty should be listed separately — they do NOT count toward the 150 minimum.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
31	Position of pay scale to teachers: • As prescribed by UGC. • As given to University teachers	[UPDATE 2023] Pay scales must comply with the UGC (Minimum Pay) Regulations 2018 (as extended): Professor: ₹1,44,200 – ₹2,18,200 (Level 14A); Associate Professor: ₹1,31,400 – ₹2,04,700 (Level 13A2); Assistant Professor (Grade I): ₹68,900 – ₹79,800 (Level 10); Assistant Professor (Grade II): ₹57,700 – ₹98,200 (Level 8/9/10 entry). Note: For DTBU status applicants, the Expert Committee verifies that actual pay is UGC-compliant, not just a stated policy. Payroll records and salary slips may be inspected.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
32	Details of Non-teaching staff appointed.	✓ CURRENT — No Change Required Provide: total non-teaching headcount; designation-wise breakdown (administrative, technical, library, maintenance); qualification and salary details. The Non-Teaching to Teaching ratio is evaluated — an excessive imbalance in either direction raises concerns. Confirm compliance with minimum wage and PF/ESI obligations for all staff.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
33	In case Distance Education Programme is being run, details/documentary proof of approval by DEC/UGC.	[SUPERSEDED / OBSOLETE] MAJOR UPDATE: The Distance Education Council (DEC) has been ABOLISHED. Distance Education programmes are now regulated by the UGC (Open and Distance Learning & Online) Regulations 2020 and subsequent amendments. The approving authority is UGC directly (through its ODL Cell). Key requirements under current ODL framework: (a) NAAC 'A' grade (minimum CGPA 2.75 for ODL offering; 3.26 for online programmes); (b) 3 years of conventional education prior to ODL; (c) Separate ODL approval for each programme from UGC.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:

		IMPORTANT: ODL/online mode students are NOT counted toward the minimum 3,000 regular classroom students required for General Category DTBU eligibility.	
34	In case any off campus or study centre or admission centre has been established outside the State of its jurisdiction, details of approval of the said center by UGC.	[UPDATE 2023] The framework for off-campus centres has been completely restructured in the 2023 Regulations: (a) EXISTING DTBU may set up off-campus centres only if it has NAAC 'A' grade OR is ranked 1–100 in the 'Universities' category of NIRF rankings; (b) DISTINCT CATEGORY DTBUs may apply for off-campus centres only AFTER 5 YEARS of declaration AND meeting the NAAC/NIRF criterion; (c) OFF-SHORE CAMPUSES require PRIOR APPROVAL FROM THE CENTRAL GOVERNMENT; (d) All off-campus centre applications go through the UGC portal with Expert Committee evaluation; (e) 'Admission centres' and 'study centres' are NOT permitted — only approved off-campus centres. Applications currently operating without proper UGC approval must be regularised before the DTBU proposal.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
35	Details of any research activities undertaken by the Institution.	[UPDATE 2023] Research output is a PRIMARY evaluation criterion under the 2023 framework. Provide a comprehensive research profile: (a) Publications: total Scopus-indexed, total SCI/SCIE, h-index (institutional), last 5 years; (b) Research grants: project-wise (DST, DBT, ICMR, SERB, CSIR, industry, international), total value; (c) PhD programme: enrolment, completions in last 5 years; (d) Patents: filed (Indian/international), granted (Indian/international); (e) Spin-offs / start-ups from incubation; (f) Citations and Impact Factor metrics; (g) Research centres and specialised facilities; (h) Consultancy income. The DPR's Research and Innovation Plan must project specific growth targets for all these metrics.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
36	Knowledge updation by Faculty on the following lines: Any International/National Conferences/Workshops: • Attended • Organized by the Faculty	✓ CURRENT — No Change Required Faculty development and continuous knowledge updating remains important. Under the 2023 DPR framework, the Faculty Development Plan must include: (a) Past: conference participation data (international/national) for all faculty, last 3 years; (b) Faculty Development Programmes (FDPs) attended/conducted; (c) International collaborations and visiting faculty programmes; (d) Sabbatical/study leave policy for research; (e) Future plan: budget and schedule for faculty development in the 5-year plan.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
37	Details of Other facilities available at the Institute(s).	[UPDATE 2023] This is the 'any other' infrastructure bucket. Under the 2023 framework, specifically highlight: (a) Innovation and Entrepreneurship Cell (IIC/AICTE E-Cell); (b) Incubation centre / Technology Business Incubator (TBI); (c) Centre for Indian Knowledge Systems (IKS — NEP 2020 requirement); (d) Skill development centre / finishing school; (e) Placement cell and industry interface; (f) Differently-abled-friendly infrastructure (ramps, lifts, accessible washrooms); (g) Solar/green energy installations; (h) CCTV coverage and security systems; (i) Online Grievance Redressal System (UGC mandated).	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
38	Details of Fee Structure.	[UPDATE 2023] Fee structure must be 'transparent and reasonable' as per the 2023 Regulations. Required: (a) Programme-wise fee: tuition, development, exam, and all other	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:

		components; (b) Comparison with peer institutions in the same discipline; (c) Scholarship and fee concession policy; (d) Refund policy (aligned with UGC's fee refund policy for student withdrawal); (e) Fee regulation mechanism — any state fee committee applicability; (f) Fee escalation policy (% per year, if any). The proposed DTBU fee structure for all future programmes must also be included in the DPR.	
39	Admission Policy/Procedure in brief.	[UPDATE 2023] Under the 2023 Regulations, the DTBU admission policy must comply with: (a) RESERVATION POLICY: SC (15%), ST (7.5%), OBC-NC (27%), EWS (10%) as prescribed by the Central Government; (b) For technical/professional courses: AICTE/NMC/BCI entry norms apply; (c) For general courses: UGC-prescribed minimum qualifications; (d) CUET: applicability to the DTBU depends on UGC notification — DTBUs may have their own entrance; (e) International student admissions: must comply with UGC equivalence regulations; (f) Lateral entry provisions; (g) The DTBU must maintain a transparent, merit-based, published admission process with prospectus on website.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
40	Examination system in brief.	[UPDATE 2023] The examination system of a DTBU must reflect the flexibility envisioned in NEP 2020: (a) Continuous Internal Assessment + End Semester Examination pattern; (b) Credit-Based Choice Based Credit System (CBCS) / NEP 2020 credit framework; (c) Academic Bank of Credits (ABC) registration — MANDATORY for all programmes; (d) Multiple Entry-Exit options (Certificate at 1 year / Diploma at 2 years / Bachelor at 3–4 years) as per NEP 2020; (e) Online examination capabilities; (f) Anti-malpractice system; (g) Results declaration timeline and grievance mechanism; (h) APAAR (Academic Proof of Academic Records) issuance. The governance documents (statutes) must specify the University's examination powers.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
SECTION V — NEW REQUIREMENTS UNDER 2023 REGULATIONS (NOT IN ORIGINAL CHECKLIST)			
41*	[NEW — Not in original UGC Connect checklist] Category Selection Declaration	[NEW — 2023 REGULATION] The applicant must formally declare whether applying under GENERAL CATEGORY or DISTINCT CATEGORY. For Distinct Category: a detailed justification note (3–5 pages) explaining the unique focus area, national/strategic relevance, societal need, and why the institution qualifies as 'distinct' must be prepared. This is evaluated by an Expert Committee specially constituted by the UGC Chairman.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
42*	[NEW — Not in original UGC Connect checklist] Academic Bank of Credits (ABC) Registration	[NEW — 2023 REGULATION] Registration on the Academic Bank of Credits portal (abc.gov.in) is MANDATORY for all DTBU applicants. All proposed programmes must be mapped on the ABC portal. The ABC enables Multiple Entry-Exit, credit transfer, twinning programmes, joint degrees, and dual degrees as envisaged in NEP 2020. APAAR (Academic Proof of Academic Records / Academic ID for students) implementation plan should also be described in the DPR.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:
43*	[NEW — Not in original UGC Connect checklist] Detailed Project Report (DPR) — 15-Year Vision + 5-Year Plan	[NEW — 2023 REGULATION] A comprehensive DPR is a MANDATORY standalone document in the 2023 application. It must contain: (i) 15-Year Strategic Vision Plan; (ii) 5-Year Rolling Implementation Plan with milestones; (iii) Academic Plan (all	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:

		programmes, OBE curriculum, NEP 2020 alignment); (iv) Faculty Development Plan; (v) Research and Innovation Plan (priority areas, PhD growth, patents, grants); (vi) Infrastructure Development Plan (Master Plan, capital expenditure); (vii) Financial Plan (revenue projections, corpus fund plan, sustainability analysis). The DPR is the primary document evaluated by the Expert Committee.	
44*	[NEW — Not in original UGC Connect checklist] NEP 2020 Alignment	[NEW — 2023 REGULATION] The 2023 Regulations explicitly require alignment with the National Education Policy 2020. The DPR and governance documents must reflect: (a) Multidisciplinary/interdisciplinary approach across programmes; (b) Integration of Indian Knowledge Systems (IKS) in relevant curricula; (c) Research, Innovation, and Entrepreneurship focus; (d) Internationalisation (MoUs with Top-500 QS/THE universities, visiting international faculty); (e) Holistic and value-based education (sports, arts, community service, ethics); (f) Teacher training and development. Failure to reflect NEP 2020 in the DPR is a common reason for adverse Expert Committee reports.	Status: ☐ Ready ☐ Partial ☐ Gap Actual position / notes:

LEGEND AND KEY TO ANNOTATIONS

Each row in the checklist is colour-coded and annotated as follows:

Column 2 — Update Annotation Colour	Meaning	Action Required
✓ GREEN — CURRENT	Original point remains fully applicable under 2023 Regulations. Minor procedural nuances may be noted.	Complete this point as per original checklist; note any procedural refinements.
[UPDATE 2023] — AMBER	Original point exists but figures, terminology, procedure, or requirements have been materially changed by the 2023 Regulations. The annotation describes the change.	Use the UPDATED requirement from the annotation, not the original figures/process.
[NEW — 2023 REGULATION] — BLUE	An entirely new requirement introduced by the 2023 Regulations that was NOT in the original UGC Connect checklist.	Prepare this documentation/plan from scratch — it has no original equivalent.
[SUPERSEDED / OBSOLETE] — RED	The original point or its figures are now outdated or the body/process referred to no longer exists (e.g., DEC, old corpus fund figures, 'Reader' designation).	Do NOT use the original figures. Follow the updated annotation. The point itself may still require a response but with new data.

CHANGE SUMMARY — ORIGINAL vs 2023 REGULATIONS

Of the original 40 points, the following categorisation applies:

Category	Points	Impact
✓ Current — No Change	9, 12, 19, 20, 23, 24, 25, 29, 32, 36 (10 points)	Complete as per original checklist. Small procedural nuances noted in annotations.
[UPDATE 2023] — Changed	2, 3, 4, 5, 6, 7, 8, 10, 11, 13, 14, 15, 16, 17, 18, 21, 22, 26, 27, 30, 31, 34, 35, 37, 38, 39, 40 (27 points)	Use the 2023 annotation, NOT the original figures/process. Critical changes: corpus fund ₹5Cr→₹25Cr; faculty 100→150; NAAC 2 cycles→3 cycles; State NOC procedure formalised; Executive Council mandatory.
[SUPERSEDED / OBSOLETE]	28, 33 (2 points)	Pt.28: ₹50 lakh equipment benchmark is obsolete. Pt.33: DEC no longer exists — UGC ODL Cell now governs. Respond with updated information per annotations.
[NEW — 2023 Regulation]	41*, 42*, 43*, 44* (4 new points added)	Category declaration; ABC registration (mandatory); DPR (15-year vision + 5-year plan); NEP 2020 alignment — all entirely absent from original checklist.

Most Critical Updates to Action First: The following original checklist items have the MOST MATERIAL CHANGES and must be addressed first: (1) Point 15 — Corpus Fund: ₹3–5 crore is OBSOLETE; the requirement is now ₹25 CRORE (2.5× to 8× higher). This is a major financial commitment requiring advance planning. (2) Point 7 — NAAC: Three consecutive cycles required (not two); verify your NAAC cycle count carefully. (3) Point 16 — Governance: Executive Council is now mandatory for private DTBUs (not in old checklist). Draft statutes needed. (4) Point 13 — State NOC: Formal 60-day process with deemed consent provision is now the procedure. (5)

	Points 41*–44* (new): Category declaration, ABC registration, full DPR, and NEP 2020 alignment are entirely new requirements that have no equivalent in the original checklist.
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Original 40-point checklist sourced from UGC Connect. Updated and annotated per UGC (Institutions Deemed to be Universities) Regulations, 2023 (notified 2 June 2023). Always verify current requirements with the official UGC DTBU portal (deemed.ugc.ac.in) before submission. For professional advisory and DPR preparation assistance, contact Ravinder Gupta & Associates.